

69O-136.002 Foreign and Alien Insurers Filing for a Certificate of Authority.

Substantial rewording of Rule 69O-136.002, F.A.C. follows. See Florida Administrative Code for present text.

All foreign or alien entities seeking a certificate of authority shall comply with the requirements of sections 624.404, 624.413, and related Florida Statutes. Each applicant shall comply with the instructions contained in the Uniform Certificate of Authority Expansion Application and any other requirements listed or referenced in the Uniform Certificate of Authority Expansion Application package, Form OIR-C1-1413. Such filings shall not exempt a foreign or alien insurer from any requirements under Florida law.

(1) Applicants shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-1413, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-1414, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-1422, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (o) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (q) Form OIR-C1-1424, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (r) Form OIR-C1-1524, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (s) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (t) Form OIR-D0-896, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (u) Form OIR-D0-904, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (v) Form OIR-D0-2119, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (w) Form OIR-D0-2165, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) Applicants must also submit:

- (a) A certificate of compliance certified by the domiciliary regulator.
- (b) A certificate of deposit certified by the domiciliary regulator.

(3) All the forms listed in subsection (1) may be obtained from the Office's website at <http://www.floir.com> and shall be submitted electronically via the Office's iApply system at <https://www.floir.com/iportal>. Forms relating to specific kinds of insurance in subsection (1) are to be submitted only by companies issuing policies relating to the kind of insurance specified on the form.

Rulemaking Authority 624.308 FS. Law Implemented 624.307(1), 624.34, 624.401, 624.404, 624.407, 624.413, 624.422, 624.501, 626.7451(11), 628.161, 628.907 FS. History—New 2-26-92, Amended 9-19-00, 11-18-02, Formerly 4-136.002. Amended _____.

69O-136.004 Surplus Lines.

Substantial rewording of Rule 69O-136.004, F.A.C. follows. See Florida Administrative Code for present text.

Applications submitted as an insurer under Florida's Surplus Lines Law, pursuant to section 626.913, Florida Statutes, shall contain all of the following forms.

(1) Applicants shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-916, incorporated by reference in Rule 69O-136.100, F.A.C.;

- (b) Form OIR-C1-144, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (o) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (p) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) All the forms listed in subsection (1) may be obtained from the Office’s website at <http://www.floir.com> and shall be submitted electronically via the Office’s iApply system at <https://www.floir.com/iportal>.

Rulemaking Authority 624.308 FS. Law Implemented 624.307(1), 624.34, 624.422, 624.501, 626.913, 626.918 FS. History—New 2-26-92, Amended 9-19-00, 11-18-02, Formerly 4-136.004. Amended _____.

69O-136.006 Domestic Insurers Filing for an Application for Permit and Subsequent Certificate of Authority

Substantial rewording of Rule 69O-136.006, F.A.C. follows. See Florida Administrative Code for present text.

(1) All domestic insurers filing an Application for Permit, pursuant to Chapter 628, F.S., for the following: domestic property and casualty insurers, title insurers, and life and health insurers, pursuant to Section 628.051, F.S. except for domestic reciprocal insurers which are addressed in (2); domestic assessable mutual insurers, pursuant to section 628.051, F.S. and Domestic Captive Insurers, pursuant to Chapter 628, Part III, F.S., shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-0521, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-0520, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-1422, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (o) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (p) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (q) Form OIR-C1-1522, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (r) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (s) Form OIR-D0-896, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (t) Form OIR-D0-904, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (u) Form OIR-D0-2119, incorporated by reference in Rule 69O-136.100, F.A.C.; and

(v) Form OIR-D0-2165, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) All domestic reciprocal insurers filing an Application for Permit and a subsequent certificate of authority, pursuant to sections 628.051, F.S., and Chapters 624 and 629, F.S., shall follow the directions on, or otherwise submit, the following forms:

(k) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;

(a) Form OIR-C1-908, incorporated by reference in Rule 69O-136.100, F.A.C.;

(j) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;

(c) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;

(g) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;

(h) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;

(i) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;

(j) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;

(k) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;

(l) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;

(m) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;

(n) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;

(o) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;

(p) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.;

(q) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.;

(r) Form OIR-D0-896, incorporated by reference in Rule 69O-136.100, F.A.C.;

(s) Form OIR-D0-2119, incorporated by reference in Rule 69O-136.100, F.A.C.; and

(t) Form OIR-D0-2165, incorporated by reference in Rule 69O-136.100, F.A.C.

(3) Once applicant has received a permit from the Office pursuant to section 1 or 2 above, it shall submit Form OIR-C1-1524, incorporated by reference in Rule 69O-136.100, F.A.C., as well as any other documents required in the permit. Once it has submitted all documents, satisfied the permit conditions, and is otherwise in compliance with Florida law, applicant will be granted a certificate of authority.

(4) All the forms listed in subsection (1) (2) & (3) above may be obtained from the Office's website at <http://www.flair.com> and shall be submitted electronically via the Office's iApply system at <https://www.flair.com/iportal>. Forms relating to specific kinds of insurance in subsection (1) & (2) are to be submitted only by companies issuing policies relating to the kind of insurance specified on the form.

Rulemaking Authority 624.308 FS. Law Implemented 624.307(1), 624.34, 624.401, 624.404, 624.407, 624.413, 624.422, 624.501, 626.7451(11), 628.051 FS. History—New 2-26-92, Amended 9-19-00, 11-18-02, Formerly 4-136.006. Amended _____.

69O-136.007 Redomestication Procedure

Substantial rewording of Rule 69O-136.007, F.A.C. follows. See Florida Administrative Code for present text.

(1) Any insurer which is organized under the laws of any other state for the purpose of writing insurance may apply for a certificate of authority as a domestic insurer in this state and shall comply with all of the requirements relative to the organization and licensing of a domestic insurer of the same type and by designating its principal place of business at a place in this state upon approval by the Office.

(2) A retaliatory application fee shall be submitted pursuant to section 624.5091, F.S. The retaliatory fee is the greater of:

(a) The amount that the applicant's domiciliary state or country would charge a Florida domestic insurer making application in the applicant's state or country of domicile; or

(b) The Florida application fee pursuant to section 624.501(1)(a), F.S.

(3) Any insurer seeking a certificate of authority as a domestic insurer in this state shall comply with the directions on, or otherwise submit, the following applicable forms:

(a) Form OIR-C1-0521, incorporated by reference in Rule 69O-136.100, F.A.C.;

(b) Form OIR-C1-0520, incorporated by reference in Rule 69O-136.100, F.A.C.;

(c) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;

(d) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;

(e) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;

- (f) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (o) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (p) Form OIR-C1-1422, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (q) Form OIR-C1-1522, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (r) Form OIR-C1-1524, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (s) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (t) Form OIR-D0-896, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (u) Form OIR-D0-904, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (v) Form OIR-D0-2119, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (w) Form OIR-D0-2165, incorporated by reference in Rule 69O-136.100, F.A.C.

(4) All the forms listed in subsection (3) above may be obtained from the Office's website at <http://www.flor.com> and shall be submitted electronically via the Office's iApply system at <https://www.flor.com/iportal>. Forms relating to specific kinds of insurance are to be submitted only by companies issuing policies relating to the kind of insurance specified.

Rulemaking Authority 624.308, 628.535 FS. Law Implemented 624.307(1), (2), (3), (5), 624.316, 624.317, 624.318, 624.321, 624.324, 624.34, 624.401, 624.404, 624.413, 624.424(6), 624.501(1)(a), 628.051, 628.061, 628.520, 628.801 FS. History—New 6-7-90, Formerly 4-106.002, Amended 5-12-94, Formerly 4-143.061, Formerly 4-136.006, Amended _____.

69O-136.011 Commercial Self-Insurance Funds Domestic Insurers Filing for a Certificate of Authority.

Substantial rewording of Rule 69O-136.011, F.A.C. follows. See Florida Administrative Code for present text.

(1) Applicants for a certificate of authority as a commercial self-insurance fund pursuant to section 624.462, F.S., shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-845, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-144, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (o) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (s) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) All the forms listed in subsection (3) above may be obtained from the Office's website at <http://www.flor.com> and shall be submitted electronically via the Office's iApply system at <https://www.flor.com/iportal>. Forms relating to specific kinds of insurance are to be submitted only by companies issuing policies relating to the kind of insurance specified.

Rulemaking Authority 624.308 FS. Law Implemented 624.307(1), 624.34, 624.401, 624.404, 624.407, 624.411, 624.413, 624.414(1), 624.422, 624.466, 624.501, 625.306, 628.041, 628.6011, 628.051, 628.061, 628.071, 628.081, 628.091, 628.121, 628.151, 628.161, 628.171, 628.221, 628.231, 628.251, 628.261, 628.451, 628.461, 628.4615, 628.471, 629.071, 629.081, 629.091, 629.101, 629.121, 629.131, 629.181 FS. History—New 2-26-92, Amended 9-19-00, 11-18-02, Formerly 4-136.011. Amended, _____.

69O-136.012 Forms Adopted.

(1) All the forms listed in Rules 69O-136.002 through 69O-136.011, F.A.C., are hereby adopted and incorporated by reference. All the forms may be obtained from and shall be submitted to the Office of Insurance Regulation, Division of Insurer Services, Applications Coordination Section, Larson Building, 200 East Gaines Street, Tallahassee, Florida 32399-0327.

(2) An original and one copy shall be filed for all applications referenced in Rules 69O-136.002 through 69O-136.011, F.A.C.

Rulemaking Authority 624.308 FS. Law Implemented 624.307(1), 624.34, 624.401, 624.404, 624.413, 624.422, 624.462, 624.466, 624.501, 626.913, 628.6011, 628.051, 628.061 FS. History—New 2-26-92 Amended 9-19-00, Formerly 4-136.012. Repealed_____.

69O-136.015 Corporate Amendment Procedure Procedure to Amend an Existing Certificate of Authority to Add a New Line of Business

Substantial rewording of Rule 69O-136.015, F.A.C. follows. See Florida Administrative Code for present text.

(1) This rule applies to all authorized insurers, as defined in section 624.09, F.S.

(2) Any insurer seeking to add or delete a line of insurance on an existing certificate of authority, file a name change, redomesticate (between foreign jurisdictions only), change its statutory home office address, merge two or more foreign insurers, file a change of control of a foreign insurer, amend its articles of incorporation, amend its bylaws, change its address or contact notification, or amend its Service of Process shall comply with the directions on, or otherwise submit, the following applicable forms:

(a) Form OIR-C1-0508, incorporated by reference in Rule 69O-136.100, F.A.C.;

(b) Form OIR-C1-0510, incorporated by reference in Rule 69O-136.100, F.A.C.;

(c) Form OIR-C1-0511, incorporated by reference in Rule 69O-136.100, F.A.C.;

(d) Form OIR-C1-0512, incorporated by reference in Rule 69O-136.100, F.A.C.;

(e) Form OIR-C1-0522, incorporated by reference in Rule 69O-136.100, F.A.C.;

(f) Form OIR-B2-1093, incorporated by reference in Rule 69O-136.100, F.A.C.;

(g) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.; and

(h) Form OIR-C1-1524, incorporated by reference in Rule 69O-136.100, F.A.C.

(3) Since the addition of any new line of business to a company's certificate of authority may impact the company's surplus and/or writing ratios, any such request to amend an existing certificate will be carefully evaluated by applying current standards required of an insurer seeking a certificate of authority from this state. The Office shall not authorize the addition of any lines of insurance to an insurer's existing certificate of authority unless evidence is presented satisfactory to the Office that authorization of the additional lines of insurance would be in the best interests of the financial solvency of the insurer and in the best interests of the policyholders.

(4) All the forms listed in subsection (2) may be obtained from the Office's website at <http://www.flor.com> and shall be submitted electronically via the Office's iApply system at <https://www.flor.com/iportal>.

Rulemaking Authority 624.308(1) FS. Law Implemented 624.09, 624.307(1), 624.404, 624.408, 624.413, 624.414, 624.416(4), 624.501(20), 624.610(11), 627.6488(1) FS. History—New 3-30-92, Amended 9-2-96, Amended 9-19-00, 11-18-02, Formerly 4-136.015. Amended _____.

69O-136.018 Determination of Eligibility to Operate as an Alien Insurer in Florida Pursuant to Sections 624.402(8) or 624.402(9), F.S.

Substantial rewording of Rule 69O-136.018, F.A.C. follows. See Florida Administrative Code for present text.

(1) The below are to be used in determination of eligibility to operate as an Alien Insurer pursuant to section 624.402(8) F.S. Applicants shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-2176, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (c) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) The below are to be used in determination of eligibility to operate as an Alien Insurer pursuant to section 624.402(9), F.S. Applicants shall comply with the directions on, or otherwise submit, Form OIR-C1-1654, incorporated by reference in Rule 69O-136.100, F.A.C.

(3) The forms listed in subsections (1) and (2) may be obtained from the Office's website at <http://www.flor.com> and shall be submitted electronically via the Office's iApply system at <https://www.flor.com/iportal>.

Rulemaking Authority 624.308 FS. Law Implemented 624.402(8) FS. History—New 5-25-06. Amended _____.

69O-136.031 Registration as a Purchasing Group.

Substantial rewording of Rule 69O-136.031, F.A.C. follows. See Florida Administrative Code for present text.

(1) All entities seeking registration as a purchasing group shall comply with the requirements of section 627.948, F.S., and shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-515, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (b) Form OIR-C1-144, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) All domestic entities, as well as any foreign entities whose officers or directors collect premiums, shall comply with the directions on, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.; and
- (m) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.

(3) In addition to the information required on the forms in subsection (1) & (2) above, the entity shall:

- (a) Identify all other states in which the group is currently registered;
- (b) Specify the method by which, and the person or persons, if any, through whom insurance will be offered to its members whose risks are resident or located in this state; and,

(c) Provide such other information as is necessary for the Office to determine whether the persons through whom insurance will be offered meet the standard set forth in section 626.611(14), F.S.

(4) During the pendency of the application, if any of the information submitted in response to the requirements of this rule changes, the entity shall notify the Office of the change within ten days of the change.

(5) All the forms listed in subsection (1) & (2) may be obtained from the Office's website at <http://www.flor.com> and shall be submitted electronically via the Office's iApply system at <https://www.flor.com/iportal>.

Rulemaking Authority 624.308, 627.954 FS. Law Implemented 624.307(1), (3), 624.318, 624.321, 626.611(14), 627.948 FS.

Substantial rewording of Rule 69O-136.032, F.A.C. follows. See Florida Administrative Code for present text.

(1) All foreign entities seeking licensure as a risk retention group shall comply with the requirements of section 627.944, F.S., as applicable, and shall comply with the directions on, or otherwise submit, Form OIR-C1-513, incorporated by reference in Rule 69O-136.100, F.A.C.

(2) The form listed in subsection (1) may be obtained from the Office’s website at <http://www.flor.com> and shall be submitted electronically via the Office’s iApply system at <https://www.flor.com/iportal>.

(3) Applicants shall also submit:

(a) A copy of each examination of the risk retention group as certified by the insurance commissioner or public official conducting the exam;

(b) A copy of any audit performed with respect to the risk retention group; and

(c) A certificate of compliance or licensing letter from the state of domicile dated within the last year.

(4) Applicants should not conduct business in this state until notified by the Office that the registration is complete.

(5) All domestic applicants applying pursuant to section 627.943, F.S., shall comply with the directions in Rule 69O-136.006(1) & (3) for domestic insurers.

Rulemaking Authority 624.308, 627.954 FS. Law Implemented 624.307(1), (3), 624.318, 624.321, 624.404(3)(a), 624.413, 627.943, 627.944, 628.051, 628.061 FS. History—New 1-30-91, Formerly 4-107.003, 4-136.032. Amended

69O-136.034 Uniform Certificate of Authority Expansion Application.

~~(1) Effective December 1, 2000, any foreign insurer seeking a certificate of authority may file a Uniform Certificate of Authority Expansion Application which is substantially similar to that form as revised by the National Association of Insurance Commissioners as of August 1, 2000 in lieu of the filings required by Rule 69O-136.002, F.A.C. Each applicant shall comply with the instructions contained in the Uniform Certificate of Authority Expansion Application and any other requirements specifically listed or referenced in the Uniform Certificate of Authority Expansion Application package, Form OIR-C1-1413. Such filings shall not exempt a foreign insurer from any requirements under Florida Statutes.~~

~~(2) The following forms are incorporated by reference:~~

~~(a) Form OIR-C1-1413, Uniform Certificate of Authority Expansion Application, as revised by the National Association of Insurance Commissioners on 8/1/00;~~

~~(b) Form OIR-C1-938, Fingerprint Card Instructions, rev. 7/99;~~

~~(3) Each applicant shall submit the following forms:~~

~~(a) Form OIR-C1-1414, Expansion Application Checklist, rev. 8/00;~~

~~(b) Form OIR-C1-1415, Expansion Application, as revised 8/1/00;~~

~~(c) Form OIR-C1-1416, Expansion Application Lines of Business, rev. 8/00;~~

~~(d) Form OIR-C1-1417, Expansion Application Authorization for Disclosure of Financial Records, rev. 8/00;~~

~~(e) Form OIR-C1-1418, Expansion Application Power of Attorney to Appoint and Certify Agents, rev. 8/00;~~

~~(f) Form OIR-C1-1419, Expansion Application Certificate of Compliance, rev. 8/00;~~

~~(g) Form OIR-C1-1420, Expansion Application Reinsurance Arrangements Checklist for Proportional Treaty Contract Clauses, rev. 8/00;~~

~~(h) Form OIR-C1-1421, Expansion Application Reinsurance Arrangements Checklist for Non-Proportional Treaty Contract Clauses, rev. 8/00;~~

~~(i) Form OIR-C1-1422, Uniform Certificate of Authority Application Questionnaire, rev. 8/00;~~

~~(j) Form OIR-C1-881, Invoice, Application for Certificate of Authority, rev. 10/97;~~

~~(k) Form OIR-C1-1301, Subscription Agreement Form, rev. 5/99;~~

~~(l) Form OIR-C1-144, Service of Process Consent & Agreement, rev. 1/97;~~

~~(m) Form OIR-C1-1423, NAIC Biographical Statement and Affidavit, rev. 8/00;~~

~~(n) Form OIR-C1-450, Authority For Release of Information, rev. 5/00;~~

~~(o) Form OIR-C1-903, Invoice, Request for Payment of Fingerprint Charges, rev. 4/97; and,~~

~~(p) Form OIR-C1-1424, Expansion Application, Florida Specific Information, rev. 8/00.~~

~~(4) The Office shall accept a Uniform Certificate of Authority Expansion Application filing in an electronic format after July 1, 2001.~~

Rulemaking Authority 624.308 FS. Law Implemented 624.307(1), 624.316(2)(b), 624.321(1)(a), 624.34, 624.401, 624.404, 624.407, 624.413, 624.422, 624.501, 626.9891, 628.161, 628.907 FS. History—New 12-3-00, Formerly 4-136.034. Repealed.

69O-136.080 Mergers and Acquisition of Controlling Stock of a Florida Domestic Insurer.

(1) Any person acquiring a Florida domestic insurer pursuant to section 628.461, F.S., shall comply with the instructions contained on Form OIR-C1-918, incorporated by reference in Rule 69O-136.100, F.A.C., and shall also comply with directions, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-918, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-1416, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.;
- ~~(o)~~ Form OIR-C1-1524, incorporated by reference in Rule 69O-136.100, F.A.C., if required as per the instructions in Form OIR-C1-918;

(p) Form OIR-C1-2069, incorporated by reference in Rule 69O-136.100, F.A.C.;
~~(q)~~(g) Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.;

~~(h)~~ Form OIR-D0-516, incorporated by reference in Rule 69O-136.100, F.A.C.;

~~(r)~~(i) Form OIR-D0-896, incorporated by reference in Rule 69O-136.100, F.A.C.;

~~(s)~~(j) Form OIR-D0-904, incorporated by reference in Rule 69O-136.100, F.A.C.;

~~(t)~~(k) Form OIR-D0-2119, incorporated by reference in Rule 69O-136.100, F.A.C.;

(u)~~(l)~~ Form OIR-D0-2165, incorporated by reference in Rule 69O-136.100, F.A.C.; and

~~(v)~~(m) Prior to a final decision on whether to approve the proposed acquisition, the Office shall request such other information as is necessary, depending on the facts and circumstances of the specific persons and entities involved, pursuant to section 628.461(3), F.S., to determine the character, experience, ability, and other qualifications required by statute, of the person or affiliated person of such person for the protection of the policyholders and shareholders of the insurer and the public. The Office shall make no final decision on any proposed acquisition without complete information, as required by section 628.461, F.S.

(2) All the forms listed in subsection (1) may be obtained from the Office's website at <http://www.flair.com> and shall be submitted electronically via the Office's iApply system at <https://www.flair.com/iportal>. Forms relating to specific kinds of insurance in subsection (1) are to be submitted only by companies issuing policies relating to the kind of insurance specified on the form.

(3) Any merger effected between or among one or more domestic or foreign stock insurers authorized to transact insurance in this state and one or more other entities authorized to transact insurance and self-insurance in this state, including a self-insurance trust fund existing pursuant to section 627.357, F.S., shall comply with the provisions of sections 628.461 and 628.451, F.S., and this rule.

(4) Any merger effected involving a not-for-profit health maintenance organization that is in a holding company system shall comply with the provisions of sections 628.461, 628.471, 641.255 F.S., and this rule.

(5) Any merger effected involving a for-profit health maintenance organization that is in a holding company system shall comply with sections 628.461, 628.451, 641.255 F.S., and this rule.

(6) A retaliatory application fee shall be submitted pursuant to section 624.5091, F.S. The retaliatory fee is the greater of:

(a) The amount that the applicant's domiciliary state or country would charge a Florida domestic insurer making application in the applicant's state or country of domicile; or

(b) The Florida application fee pursuant to section 624.501(1)(a), F.S.

(7) Disclaimer of Control

(a) A person may attempt to rebut a presumption of control pursuant to section 628.461, F.S., by electronically filing via the Office's iApply system at <https://www.floir.com/iportal> one of the following forms with the Office:

1. Form OIR-C1-1467, incorporated by reference in Rule 69O-136.100, F.A.C.;
2. Form OIR-C1-1468, incorporated by reference in Rule 69O-136.100, F.A.C.;
3. Form OIR-C1-2211, incorporated by reference in Rule 69O-136.100, F.A.C.

(b) A person may attempt to rebut a presumption of control pursuant to section 628.461, F.S., by filing a copy of a Schedule 13G filed with the Securities and Exchange Commission pursuant to Rule 13d-1(b) or (c), 17 C.F.R. s. 240.13d-1, under the Securities Exchange Act of 1934, as amended, to the Office electronically via the Office's iApply system at <https://www.floir.com/iportal>.

(c) Pursuant to section 628.461(12)(a), F.S., the Office is authorized to disallow a disclaimer of control filed pursuant to paragraphs (a) and (b).

Rulemaking Authority 624.308(1), 624.413(1), 628.461(13), 628.535 FS. Law Implemented 624.307(1), 624.34, 624.404, 624.413, 624.424(6), 624.501, 624.5091, 628.451, 628.461, 628.471, ~~628.801~~ 628.801, 641.255 FS. History—New 6-7-90, Formerly 4-109.002, Amended 5-12-94, 11-7-00, Formerly 4-143.056, Amended 5-31-16, Formerly 69O-143.056, Amended 7-21-22,_____.

69O-136.090 Merger, Consolidation, or Acquisition of Controlling Stock, Ownership Interests, Assets, or Control of a Specialty Insurer.

(1) Any person acquiring a specialty insurer pursuant to section 628.4615, F.S., shall comply with the instructions contained on Form OIR-C1-448, incorporated by reference in Rule 69O-136.100, F.A.C., and shall also comply with directions, or otherwise submit, the following applicable forms:

- (a) Form OIR-C1-144, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (b) Form OIR-C1-448, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (c) Form OIR-C1-905, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (d) Form OIR-C1-938, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (e) Form OIR-C1-1423, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (f) Form OIR-C1-0500, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (g) Form OIR-C1-0501, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (h) Form OIR-C1-0502, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (i) Form OIR-C1-0503, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (j) Form OIR-C1-0504, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (k) Form OIR-C1-0505, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (l) Form OIR-C1-0506, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (m) Form OIR-C1-0507, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (n) Form OIR-C1-0509, incorporated by reference in Rule 69O-136.100, F.A.C.;
- (o) Form OIR-C1-2069, incorporated by reference in Rule 69O-136.100, F.A.C.;
- ~~(p)~~ Form OIR-C1-2221, incorporated by reference in Rule 69O-136.100, F.A.C.; and,

~~(q)~~ In addition, prior to a final decision on whether to approve the proposed acquisition, the Office shall request such other information as is necessary, depending on the facts and circumstances of the specific persons and entities involved, pursuant to section 628.4615(4), F.S., to determine the character, experience, ability, and other qualifications required by statute, of the person or affiliated person of such person for the protection of the policyholders and shareholders of the insurer and the public. The Office shall make no final decision on any proposed acquisition without complete information, as required by section 628.4615, F.S.

(2) All the forms listed in subsection (1) may be obtained from the Office's website at <http://www.floir.com> and shall be submitted electronically via the Office's iApply system at <https://www.floir.com/iportal>.

(3) Any merger effected involving a not-for-profit health maintenance organization that is not in a holding company system shall comply with sections 628.4615, 628.471, 641.255 F.S., and this rule.

(4) Any merger effected involving a for-profit health maintenance organization that is not in a holding company system shall comply with sections 628.4615, 628.451, 641.255 F.S., and this rule.

(5) A retaliatory application fee shall be submitted pursuant to section 624.5091, F.S. The retaliatory fee is the greater of:

(a) The amount that the applicant's domiciliary state or country would charge a Florida domestic insurer making application in the applicant's state or country of domicile; or

(b) The Florida application fee pursuant to section 624.501(1)(a), F.S.

(6) Disclaimer of Control

(a) A person may attempt to rebut a presumption of control pursuant to section 628.4615(11), F.S., by electronically filing via the Office's iApply system at <https://www.flair.com/iportal> one of the following forms with the Office:

1. Form OIR-C1-1467, incorporated by reference in Rule 690-136.100, F.A.C.;
2. Form OIR-C1-1468, incorporated by reference in Rule 690-136.100, F.A.C.;
3. Form OIR-C1-2211, incorporated by reference in Rule 690-136.100, F.A.C.

(b) A person may attempt to rebut a presumption of control pursuant to section 628.4615(11), F.S., by filing a copy of a Schedule 13G filed with the Securities and Exchange Commission pursuant to Rule 13d-1(b) or (c), 17 C.F.R. s. 240.13d-1, under the Securities Exchange Act of 1934, as amended, to the Office electronically via the Office's iApply system at <https://www.flair.com/iportal>.

(c) Pursuant to section 628.4615(11), F.S., the Office is authorized to disallow a disclaimer of control filed pursuant to paragraphs (a) and (b).

Rulemaking Authority 624.308(1), 628.4615(15), 628.535 FS. Law Implemented 624.307(1), 624.34, 624.424(6), 624.501, 624.5091, 626.9928, 628.451, 628.4615, 628.471 634.252, 634.3073, 634.4085, 636.065, 641.255, 641.416, 642.032, 651.024, 651.0245 FS. History—New 7-21-22.

690-136.100 Forms Incorporated by Reference.

(1) The forms in subsection (2) of this rule are available and may be printed from the Office of Insurance Regulation's website: <https://www.flair.com/iportal>, and are hereby incorporated by reference.

(2)(a) Form OIR-B2-1093, "Small Employer Carrier's Application to Become a Risk Assuming Carrier or a Reinsuring Carrier, as Required by Section 627.6699(9), Florida Statutes," effective 7/23, available at www.flrules.org/XXXXX.

(b)(~~a~~) Forms OIR-C1

1. Form OIR-C1-0500, "UCAA Biographical Affidavit Addendum Blank," effective 7/23, available at www.flrules.org/XXXXX;

2. Form OIR-C1-0501, "UCAA Biographical Affidavit Addendum Education," effective 7/23, available at www.flrules.org/XXXXX;

3. Form OIR-C1-0502, "UCAA Biographical Affidavit Addendum Employment," effective 7/23, available at www.flrules.org/XXXXX;

4. Form OIR-C1-0503, "UCAA Biographical Affidavit Addendum General," effective 7/23, available at www.flrules.org/XXXXX;

5. Form OIR-C1-0504, "UCAA Biographical Affidavit Addendum Licenses," effective 7/23, available at www.flrules.org/XXXXX;

6. Form OIR-C1-0505, "UCAA Biographical Affidavit Addendum Professional," effective 7/23, available at www.flrules.org/XXXXX;

7. Form OIR-C1-0506, "UCAA Biographical Affidavit Addendum Residence," effective 7/23, available at www.flrules.org/XXXXX;

8. Form OIR-C1-0507, "UCAA Biographical Affidavit Addendum Societies," effective 7/23, available at www.flrules.org/XXXXX;

9. Form OIR-C1-0508, "Uniform Certificate of Authority Application (UCAA) Affidavit of Lost Certificate of Authority," effective 7/23, available at www.flrules.org/XXXXX;

10. Form OIR-C1-0509, "Uniform Certificate of Authority Application (UCAA) Biographical Affidavit Cover Letter Holding Company Structure," effective 7/23, available at www.flrules.org/XXXXX;

11. Form OIR-C1-0510, "Uniform Certificate of Authority Application (UCAA) Corporate Amendments Application Application to Amend Certificate of Authority,"

12. Form OIR-C1-0511, "Uniform Certificate of Authority Application (UCAA) Corporate Amendments Application Checklist for Corporate Amendments Application Only,"

13. Form OIR-C1-0512, "Uniform Certificate of Authority Application Questionnaire for Adding or Deleting Lines of Business to an Existing Certificate of Authority,"

14. Form OIR-C1-0520, "Uniform Certificate of Authority Application (UCAA) Primary Application Checklist for Primary Application Only" effective 7/23, available at www.flrules.org/XXXXX;

15. Form OIR-C1-0521, "Uniform Certificate of Authority Application (UCAA) Primary Application" effective 7/23, available at www.flrules.org/XXXXX; "Uniform Certificate of Authority Application (UCAA) Change of Mailing Address/Contact Notification Form," effective 7/23,

15. Form OIR-C1-0522, available at www.flrules.org/XXXXX; “Uniform Certificate of Application (UCAA) Change of Mailing Address/Contact Notification Form,” effective 7/23, available at www.flrules.org/XXXXX;
16. ~~1~~ Form OIR-C1-144, “Service of Process Consent & Agreement,” effective 6/04, available at <http://www.flrules.org/Gateway/reference.asp?No=Ref-14435>;
17. Form OIR-C1-1424, “Florida Specific Information,” effective XX/XX, available at www.flrules.org/XXXXX;
- 18~~2~~. Form OIR-C1-448, “Application for Acquisition of Controlling Stock, Ownership Interest, Assets, or Control of a Florida Specialty Insurer; Merger or Consolidation,” effective 7/21, available at <http://www.flrules.org/Gateway/reference.asp?No=Ref-14436>;
19. Form OIR-C1-513, “Application for Registration as a Risk Retention Group,” effective 7/23, available at www.flrules.org/XXXXX;
20. Form OIR-C1-515, “Application for Registration as a Risk Purchasing Group,” effective 5/17, available at www.flrules.org/XXXXX;
21. Form OIR-C1-845, “Application for Certificate of Authority Commercial Self-Insurance Fund,” effective 7/23, available at www.flrules.org/XXXXX;
- 22~~3~~. Form OIR-C1-905, “Instructions for Furnishing Background Investigative Reports,” effective 6/20, hereby incorporated by reference and available at <http://www.flrules.org/Gateway/reference.asp?No=Ref-14437>;
23. Form OIR-C1-908, “Application for Certificate of Authority Domestic Reciprocal Insurer,” effective 7/23, available at www.flrules.org/XXXXX;
24. Form OIR-C1-916, “Application for Eligibility as a Surplus Lines Insurer,” effective 7/23, available at www.flrules.org/XXXXX;
- 25~~4~~. Form OIR-C1-918, “Application for Acquisition of Controlling Stock of a Florida Domestic Insurer,” effective 7/21, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14438>;
- 26~~5~~. Form OIR-C1-938, “Fingerprint Payment and Submission Procedure,” effective 6/20, hereby incorporated by reference and available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14439>;
27. Form OIR-C1-1413, “Uniform Certificate of Authority Application (UCAA) Expansion Application,” effective 7/23, available at www.flrules.org/XXXXX;
28. Form OIR-C1-1414, “Uniform Certificate of Authority Application (UCAA) Expansion Application Checklist For Expansion Application Only,” effective 7/23, available at www.flrules.org/XXXXX;
- 29~~6~~. Form OIR-C1-1416, “Uniform Certificate of Authority Application (UCAA) Lines of Insurance,” effective 7/23 ~~4/20~~, available at [available at www.flrules.org/XXXXX](http://www.flrules.org/XXXXX) <http://www.flrules.org/Gateway/reference.asp?No=Ref-14440>;
30. Form OIR-C1-1422, “Uniform Certificate of Authority Application Questionnaire,” effective 7/23, available at www.flrules.org/XXXXX;
- 31~~7~~. Form OIR-C1-1423, “Uniform Certificate of Authority Application (UCAA) Biographical Affidavit,” effective 12/20, hereby incorporated by reference and available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14441>;
32. Form OIR-C1-1424, “Uniform Certificate of Authority Application,” effective 4/13, available at www.flrules.org/XXXXX;
- 33~~8~~. Form OIR-C1-1467, “Disclaimer of Control - Individual,” effective 7/21, available at <http://www.flrules.org/Gateway/reference.asp?No=Ref-14442>;
- 34~~9~~. Form OIR-C1-1468, “Disclaimer of Control - Entity,” effective 7/21, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14443>;
35. Form OIR-C1-1522, “Primary Application Florida Specific Information,” effective 5/17, available at www.flrules.org/XXXXX;
- 36~~10~~. Form OIR-C1-1524, “Uniform Certificate of Authority Application (UCAA) Uniform Consent to Service of Process,” effective 12/19, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14444>;
37. Form OIR-C1-1654, “Determination of Eligibility to Operate as an Alien Insurer in Florida Pursuant to Section 624.402(9), Florida Statutes,” effective 5/17, available at www.flrules.org/XXXXX;
38. Form OIR-C1-2069, “Letter of Notification,” effective 7/23, available at www.flrules.org/XXXXX;
39. Form OIR-C1-2176, “Letter of Notification/Registration to Operate as a Non-US Based (Alien) Insurer (also referred to as “Offshore Insurer”) in Florida Pursuant to Section 624.402(8), Florida Statutes,” effective 5/17, available at www.flrules.org/XXXXX;
- 40~~11~~. Form OIR-C1-2211, “Disclaimer of Control – Investment Companies,” effective 7/21, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14445>; and

~~41.12.~~ Form OIR-C1-2221, “Management Information Form,” effective 6/20, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14446>.

(b) Forms OIR-D0

~~1.~~ Form OIR-D0-516, “Form B Insurance Company System Annual Registration Statement,” effective 6/20, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14447>;

~~1.2.~~ Form OIR-D0-896, “UCAA Proforma Financial Statements, Property and Casualty Insurance Company,” effective 1/19, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14448>;

~~2.3.~~ Form OIR-D0-904, “UCAA Proforma Financial Statements, Life & Health Insurer,” effective 1/19, available at www.flrules.org/14449;

~~3.4.~~ Form OIR-D0-2119, “UCAA Proforma Financial Statements, Title Insurance Company,” effective 1/19, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14593>; and

~~4.5.~~ Form OIR-D0-2165, “UCAA Proforma Financial Statements, Health,” effective 1/19, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-14450>.

Rulemaking Authority 624.308(1), 624.413(1), 628.461(13), 628.4615(15), 628.535 FS. Law Implemented 624.307(1), 624.34, 624.404, 624.413, 624.424(6), 624.501, 624.5091, 626.9928, 628.451, 628.461, 628.4615, 628.471, 628.801, 634.252, 634.3073, 634.4085, 636.065, 641.255, 641.416, 642.032, 651.024, 651.0245 FS. History—New 7-21-22. Amended _____.